# APPENDIX ES14.1 DUST ASSESSMENT METHODOLOGY



## **Appendix ES14.1**

#### Methodology for the dust impact assessment

- 1.1 The Minerals Planning Practice Guidance states that a dust assessment should be undertaken<sup>1</sup>. The guidance followed for this dust assessment is the IAQM guidance. The IAQM guidance<sup>2</sup> states that "...dust impacts will occur mainly within 400m of the operation, even in the dustiest of sites". The guidance also states '..if there are relevant human and/or ecological receptors within 250m or 400m (depending on the rock type) then a disamenity dust impact assessment will almost always be required'. For this qualitative dust assessment a conservative assessment has been undertaken and all receptors within 400m of the boundary of the sites have been identified and assessed.
- 1.2 To undertake the qualitative dust assessment the scale and nature of the works is considered to determine the residual source of dust emissions during site preparation and restoration, mineral extraction, materials handling, on site transportation, mineral and waste processing, stockpiles and exposed surfaces together with off site transportation in accordance with Appendix 4 of the IAQM guidance. The residual source emissions are the potential emissions without any operational controls.
- 1.3 The methodology for determining the magnitude of residual source emissions is established through an assessment of a number of factors and the determination of whether the activities have a large potential dust magnitude or a small potential dust magnitude. The items that are considered are:

Activity	Large potential dust magnitude	Small potential dust magnitude		
Site preparation/	Large working area	Small working area		
Restoration	High bunds	Low bunds		
	High volume of material movement.	Low volume of material		
	High numbers of heavy plant	movement		
	Minimal seeding/sealing of bund	Low numbers of heavy plant		
	surface.	Bunds seeded/sealed		
	Material of high dust potential	immediately		
		Material of low dust potential		
Mineral extraction	Large working area	Small working area		
	High energy extraction methods.	Low energy extraction methods		
	Material of high dust potential	Material of low dust potential		
	High extraction rate.	Low extraction rate		
Materials handling	High numbers of. heavy plant	Low numbers of heavy plant		
	Unconsolidated/bare surface	Hard standing surface		
	Activities close to site boundary	Activities within quarry void		
	Material of high dust potential	Material of low dust potential		
On site transportation	Use of unconsolidated haul roads	Use of conveyors		
-	Unpaved haul roads	Paved haul roads		
	Road surface of high dust potential	Road surface of low dust		
	High number of heavy duty vehicle	potential		
	movements	Low number of heavy duty		
	High total length of haul roads	vehicle movements		
	Uncontrolled vehicle speed	Low total length of haul roads		

<sup>&</sup>lt;sup>1</sup> HM Government (2014) Minerals

<sup>&</sup>lt;sup>2</sup> Institute of Air Quality Management (2016) Guidance on the Assessment of Mineral Dust Impacts for Planning v1.1



Activity	Large potential dust magnitude	Small potential dust magnitude		
BA - 4 - vi - 1 - v - v - v - v - v - v - v	Down total aftire but a total	Controlled (low) vehicle speed		
Material processing	Raw material of high dust potential	Raw material of low dust		
	End product of high dust potential	potential		
	Complex or combination of processes	End product of low dust		
	High volume material processed	potential		
		Single process		
		Low volume material		
		processed		
Stockpiles/Exposed	Long term stockpile	Short term stockpile		
surfaces	Frequent material transfers	Infrequent material transfers		
	Materials of high dust potential	Materials of low dust potential		
	Ground surface unconsolidated	Ground surface hardstanding		
	Stockpiles close to site boundary	/clean		
	Large areas of exposed surfaces	Stockpiles well within quarry		
	High wind speeds/low dust threshold	void		
	Thigh wind speeds/low dust threshold	Small areas of exposed		
		surfaces		
		Low wind speeds/high dust		
		threshold		
Vehicles leaving the	, ,	Low number of heavy duty		
site	movements	vehicle movements		
	Unconsolidated access road	Paved access road		
	Limited/no vehicle cleaning facilities	Extensive vehicle cleaning		
	Small length of access road	facilities		
		Large length of access road		

1.4 To have an impact dust must be carried from the source to a sensitive receptor which is dependent on wind speed and direction together with the location of the receptors. The proximity of the receptors based on distance from the source have been categorised using Table A3-3 of the IAQM Guidance. The distance to each identified receptor has been measured from the closest point of the application boundary to provide a conservative assessment and each receptor has been categorised based on the categorisation set out below.

Category	Criteria
Distant	Receptor is between 200m and 400m from the dust source
Intermediate	Receptor is between 100m and 200m from the dust source
Close	Receptor is less than 100m from the dust source

1.5 The frequency of winds with the potential to generate dust has been categorised using the parameters presented below from Table A3-2 of the IAQM Guidance. The dry hours windrose provides a summary of the wind speed and direction for the hours on which rainfall is less than 0.2mm (Appendix ES14.2). The frequency of winds with dust generating potential has been categorised in accordance the guidance as set out below.

Frequency Category	Criteria
Infrequent	Frequency of winds (>5 m/s) from the direction of the dust source on dry days are less than 5%
Moderately frequent	The frequency of winds (>5 m/s) from the direction of the dust source on dry days are between 5% and 12%

Frequency Category	Criteria
Frequent	The frequency winds (>5 m/s) from the direction of the dust source on dry days are between 12% and 20%
Very frequent	The frequency of winds (>5 m/s) from the direction of the dust source on dry days are greater than 20%

1.6 The effectiveness of the transfer of dust through the air (pathway effectiveness) without the implementation of any control measures is then determined based on the guidance in the table set out below.

		Frequency of potentially dusty winds			
		Infrequent	Moderately frequent	Frequent	Very frequent
Receptor Distance Category	Close	Ineffective	Moderately Effective	Highly Effective	Highly Effective
	Intermediate	Ineffective	Moderately Effective	Moderately Effective	Highly Effective
	Distant	Ineffective	Ineffective	Moderately Effective	Moderately Effective

1.7 The estimation of the risk of impacts from dust without the implementation of any control measures is determined using the pathway effectiveness together with the residual source emissions using the categorisation set out below. The residual source emissions are estimated using the parameters set in the guidance and provide an estimate of the potential for the emission of dust without any operational controls in place. As the site would not be permitted to operate without dust control measures, these residual source emission categories are not representative of the potential for dust emissions at the operational site.

		Residual Source Emissions		
		Small	Medium	Large
Pathway Effectiveness	Highly effective pathway	Low Risk	Medium Risk	High Risk
	Moderately effective pathway	Negligible Risk	Low Risk	Medium Risk
	Ineffective pathway	Negligible Risk	Negligible Risk	Low Risk

**1.8** Based on the types of receptors identified the sensitivity of the receptors are categorised in accordance with Box 4 on Page 24 of the IAQM Guidance as shown

below. The sensitivities assumed are based on whether or not the receptor is likely to be exposed to dust over a 24 hour period.

## High sensitivity receptor

- Locations where members of the public are exposed over a time period relevant to the air quality objective for PM<sub>10</sub> (in the case of the 24-hour objectives, a relevant location would be one where individuals may be exposed for eight hours or more in a day).
- Indicative examples include residential properties. Hospitals, schools and residential care homes should also be considered as having equal sensitivity to residential areas for the purposes of the assessment.

### Medium sensitivity receptor

- Where people are exposed at their occupational location over a full working day.
- Indicative examples include offices, warehouses and industrial units.

## Low sensitivity receptor

- Locations where human exposure is transient.
- Indicative examples include public footpaths, playing fields, parks and shopping streets.
- 1.9 The magnitude of dust effect without the implementation of any control measures is then determined based on the dust impact risk and receptor sensitivity based on the guidance given in the table below.

	Receptor sensitivity			
	Low	Medium	High	
High Risk	Slight Adverse Effect	Moderate Adverse Effect	Substantial Adverse Effect	
Medium Risk	Negligible Effect	Slight Adverse Effect	Moderate Adverse Effect	
Low Risk	Negligible Effect	Negligible Risk	Slight Adverse Effect	
Negligible Risk	Negligible Effect	Negligible Effect	Negligible Effect	

1.10 Professional judgement is then applied to estimate the overall potential disamenity dust effect on the surrounding area taking into account the number and location of receptors and the magnitude of dust effect likely at each receptor. A conclusion is then reached on the likely significance of the effect of dust on amenity.